

Code**Company name** Saigon Hanoi Securities Joint Stock Company (SHS)**Date** 06/18/2021**Subject** Resolution of Annual General Meeting 2021**Content:**

Saigon Hanoi Securities Joint Stock Company (SHS) announces the resolution of Annual General Meeting 2021, which was convened on June 16, 2021, as follows:

Article 1: Approving the report of the Board of Directors.

Article 2: Approving the report on business performance in 2020.

Article 3: Approving business plan for 2021.

Article 4: Approving the audited financial statements in 2020.

Article 5: Approving the report of the Board of Supervisors.

Article 6: Approving the profit distribution plan for 2020.

Article 7: Approving the plan for issuing shares to increase share capital from equity.

- Stock name: Stock of Saigon Hanoi Securities Joint Stock Company
- Stock type: common stock
- Par value: VND10,000/share
- Charter capital: VND2,072,682,010,000
- Total issued volume: 207,268,201 shares
- Outstanding volume: 207,268,201 shares
- Treasury shares: 0 shares
- Estimated issue volume: 10,363,410 shares
- Total issue value: VND103,634,100,000
- Issue ratio: 5%
- Financial resource: the undistributed after-tax profit until 12/31/2020
- Plan to deal with fractional shares: The shares newly issued to shareholders will be rounded down to units. The fractional shares (if any) will be cancelled.

For example, on the record date, shareholder A owns 155 shares. Shareholder A will receive: $155 \times 5\% = 7.75$ shares. After rounded down, the real number of shares shareholder A receives will be 7 shares. The fractional part (0.75) will be cancelled.

- Issue time: in 2021.

Article 8: Approving the plan for issuing shares to existing shareholders to increase charter capital.

- Stock name: Stock of Saigon Hanoi Securities Joint Stock Company
- Stock type: common stock
- Par value: VND10,000/share
- Charter capital: VND2,072,682,010,000
- Total issued volume: 207,268,201 shares
- Outstanding volume: 207,268,201 shares
- Treasury shares: 0 shares
- Estimated issue volume: 103,634,101 shares
- Total issue value: VND1,036,341,010,000
- Issue ratio: 2:1
- Financial resource: the undistributed after-tax profit until 12/31/2020
- Issue price: VND13,500/share
- Plan to deal with fractional shares: The shares newly issued to shareholders will be rounded down to units. The fractional shares (if any) will be cancelled.

For example, on the record date, shareholder A owns 155 shares. Shareholder A will receive: $155 \times 2:1 = 77.5$ shares. After rounded down, the real number of shares shareholder A receives will be 77 shares. The fractional part (0.5) will be cancelled.

- Issue time: in 2021.

Article 9: Approving the employee stock ownership plan.

Article 10: Approving the remuneration of the Board of Directors and the Board of Supervisors.

Article 11: Approving the amendment to the Company's Charter.

Article 12: Approving the amendment to the internal regulations on corporate governance.

Article 13: Approving the amendment to the regulations on virtual meeting and e-voting.

Article 14: Approving the amendment to the regulations on the Board of Directors.

Article 15: Approving to authorize the Board of Directors to implement tasks.

Article 16: Approving the document on the selection of audit firm for auditing the listed company's financial statements in 2021.

Article 17: Approving the amendment to the regulations on the Board of Supervisors.

Article 18: This resolution shall take effect from June 16, 2021.